



EMT CORPORATE RISK & ASSURANCE REGISTER APPENDIX 1


Key Risks (refer to note 1)

No.	Corporate Priorities	Risk	Consequence	Identified Control	Assurance	Likelihood (L)	Impact (I)	Exposure = L x I	Risk Rating	Responsible Officer – Group	Review
1.	Delivering high quality, value for money public services	<p>Business Resilience – Sub-risk</p> <p>IT resilience</p> <ul style="list-style-type: none"> • Systems not joined up and connected • Strategic Information technology framework not implemented effectively • Lack of top tier response plans • ISP version update to the infrastructure of the internet will have to move over to a new system, IPv6 previous versions not being compatible • Electronic information storage capacity • Mobile Communications technology provider service failure <p>• 2012 Olympics delivery risks to H & F</p>	<p>If an event occurs</p> <ul style="list-style-type: none"> • Customers face delays in service provision • Time to recover power and IT Services could be between 6 & 8 weeks • Loss of information • Service interruption • Loss of productivity • Non compliance with statutory duties - indirectly • Increased cost of resurrecting services (only partially insurable) • Threat to life - indirectly • Wasted resources & staff duplication in recovery phase • Cost of additional data storage capacity <p>• Delays/ interruption to public transport system due to investment programmes in infrastructure</p> <p>• Skills and resource shortage leading to commencement of the games</p> <p>• Potential threat of a terrorist</p>	<ul style="list-style-type: none"> • Corporate Incident Management Procedures incorporate Business Continuity • Training has been delivered to local service plan leaders • A corporate service resilience group has been formed and meet periodically • Assistant Directors of Resources have been appointed as Departmental contact leads • Local Service Plans have been compiled, reviewed and refreshed and quality checked by Emergency Services • H & F Bridge Partnership have submitted a Local Service Recovery Plan and has worked with the council to undertake a formal risk assessment, a major incident process has been established by HFBP as part of the Service Desk Manual • Data recovery is insured under the councils corporate insurance package (but limited) • A threat assessment has been compiled • Some ITC service has been moved to East London • The Business Continuity (BC) 	<p>Substantial Assurance report 2011/12 Emergency Planning</p> <p>Business Continuity Audit report 2008/09 (Limited Assurance) in, ICT Disaster recovery provisions</p> <p>Audit report 2009/10 (Nil Assurance) Data storage & back up audit Audit report 2009/10 (Substantial assurance)</p> <p>EMT</p> <p>Audit and Pension Committee</p> <p>Service Resilience Group</p>	3	4	12	Medium 	Jane West (Insurance & H F Bridge Partnership contract monitoring) Lyn Carpenter (Corporate Business Continuity)	Review October 2011

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		Terrorist attack/Civil disturbance	attack <ul style="list-style-type: none"> • Service interruption • Property loss or damage • Injury or harm 	project now involves provision of IT BC for approximately 30 First Order applications as identified by H&F. The data is replicated from the primary data centre at East London to the secondary site at HTH. Additionally, there is local network switch resilience within HTH; resilience for the infrastructure elements such as profiles, home folders and printing; plus annual tests of parts of the BC solution. <ul style="list-style-type: none"> • User acceptance testing of the business continuity has established a small number of applications require further work but the project is effectively complete • Terrorism insurance cover NOTE Please refer to BCP Risk Assessment for highlighted risks and controls							
2.	Delivering high quality, value for money public services	Managing projects Sub-risks <ul style="list-style-type: none"> • Projects do not consider enough time to mobilise in the event services are awarded to the private sector • Project implementation is delayed due to protracted discussions regarding pensions transfer • The risk of challenge to contract awards may increase during the harsher economic climate • Large scale high risk high return projects are not led by a qualified or experienced project manager. 	<ul style="list-style-type: none"> • Customers needs and expectations are not fully met when projects are delivered • Benefits of investment in creating toolkit not realised • Threat of overspend on projects • Benefits are not fully realised • Delays in mobilisation of services through revised contracts 	<ul style="list-style-type: none"> • Project Management toolkit • Training of Officers has being delivered and is ongoing • Transformation Office in Finance & Corporate Services Department acts as a repository for project information and reports to EMT but does not ensure compliance with any toolkit • Senior Managers have all been briefed about the Project Toolkit • Toolkit is available on desktop PC's • Monthly transformation reporting to EMT (dashboard) • Competition Board monitor 	Corporate Programme & project management audited in 2009 draft report issued (Limited Assurance) Competition Board Transformation Board Audit Commission review of	3	3	9	Low 	Jane West lead – All Executive Directors	Review October 2011


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		<ul style="list-style-type: none"> Too many projects are undertaken with unrealistic or unachievable targets Successful delivery of the World Class Financial Management Programme 		<ul style="list-style-type: none"> aspects of project management compliance Procedures for TUPE transfer have been included in project management instructions Programme and Portfolio governance arrangements are being formalised Lessons learned report 	<p>selected contract management scheduled 2010</p> <p>Internal Audit review of specific contracts under 2010/11 Audit Plan and of Use of Consultants (Nil Assurance) EMT, Pension and Audit Committee</p>						
3.	Delivering high quality, value for money public services, Providing a top quality education for all, Tackling crime & anti-social behaviour, A cleaner greener borough, Promoting home ownership.	<p>Managing statutory duty</p> <p>Sub-risks Non-compliance with laws and regulations</p> <p>Breach of duty of care</p> <p>Departmental assurances</p>	<ul style="list-style-type: none"> Non compliance may result in prosecution or a Corporate Manslaughter charge Financial compensation may be claimed Injury or death to a member of the public or employee A breach of information security protocols may result in fines, harm to reputation and personal liability of Directors Inadequate level of service Poor satisfaction with statutory services Potential claims involving failures in Social Care (Stamford House) 	<ul style="list-style-type: none"> Nigel Pallace appointed lead Sponsor on EMT for Health & Safety Pro-active Health, Safety and Welfare culture across the council TriBorough Health & Safety protocols are being discussed and established Contractors are managed within CHAS regime Insurance cover is in place in the event of a claim for breach of duty of care and in respect of financial claims Legislative changes are adopted and reflected in amendment to the council's constitution, budget allocation through MTFS (Now unified business & financial planning process) Training and guidance 	<p>Health & Safety Internal Audit undertaken 2009/10 demonstrated improvements and substantial assurance</p> <p>Annual Assurance process</p> <p>Assurance required that actions are being taken to ensure compliance with the law and regulations</p> <p>EMT,</p>	3	4	12	Medium 	Derek Myers	Review October 2011


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		Corporate Parenting Carbon reduction commitment Equalities	<ul style="list-style-type: none"> Harm to reputation, potential harm or injury to individual The Climate Change Act 2008 sets a statutory carbon reduction target of at least 80% by 2050 for the UK 	<p>packages and newly agreed performance management indicators</p> <ul style="list-style-type: none"> Periodic reporting to EMT Corporate Safety Committee Briefings for Senior Managers on Corporate Manslaughter have been undertaken Health & Safety week promoted the theme of risk assessment Health & Safety guidelines have been reviewed, refreshed and communicated Promotion of the Occupational Health Service and Workplace Options Employee Assistance Scheme Housing and Regeneration have rolled out personal safety training to over 130 staff through the Suzy Lamplugh Trust Training <ul style="list-style-type: none"> Local Safeguarding Childrens Board, Unannounced Safeguarding Inspection, Ofsted , Local and London Child Protection Procedures <ul style="list-style-type: none"> Carbon reduction manager Staff energy survey Travel survey Parking survey Procurement policy Advice on sustainable planning applications <ul style="list-style-type: none"> Committee Services compliance check EIA's via 	<p>Audit and Pension Committee</p> <p>Education Committee</p> <p>Safety Committee</p> <p>Carbon Reduction Management Project Board</p> <p>Substantial Assurance report 2010/11 Carbon Reduction Commitment</p> <p>Limited Assurance</p>						



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			<ul style="list-style-type: none"> Increased compliants, Ombudsman involvement, judicial review, prohibiting order, mandatory order, declaration, injunction, damages, challenge to budget 	<ul style="list-style-type: none"> Cabinet key decision reports EMT signed off a revised assurance framework 	report 2010/11 Single Equality Scheme						
5.	Delivering value for money	<p>Managing budgets</p> <p>Sub-risks</p> <ul style="list-style-type: none"> Austere financial settlement from government is not favourable. The council is seen as a floor authority. Impact of a double dip recession and cascade effect on social budgets * link to revenue forecast Demand led services may occur mid year resulting in unanticipated additional costs HMRC VAT claims regarding partnering activities Grant application is incorrectly calculated Unplanned growth Failure to achieve VFM Accruals & reconciliations Planned savings not implemented Creditworthiness of some contractors may be downgraded as a result of the economic downturn Increase in social welfare services as a result of the economic downturn may impact on projected 	<ul style="list-style-type: none"> Pressure on the authority to manage overspends Departments have to manage cost pressures Pressure to meet target savings and Administrations commitment to cut Council Tax HMRC recovery of VAT from the council affecting cash flow Repayment of Grants CEDAR 5.1 will no longer be supported by the product supplier 	<ul style="list-style-type: none"> July 2011 Corporate Revenue and Capital Monitoring report to Overview & Scrutiny Board notes a favourable revenue outturn. E-Learning package for Finance Managers now live Collaborative Planning system now being introduced with supported training for budget holders Medium Term Financial Strategy and Business Planning Processes have been combined and is remodelled MTFS Officer & Member Challenge Efficiency programme management in place identifying statutory v discretionary services Leader's monthly monitoring reports Financial Strategy Board (FSB) periodically evaluates the effectiveness of the financial management arrangements Partnership activity now includes a VAT trace and has 	<p>Annual Audit Letter</p> <p>Select Committees are given the opportunity to fully scrutinise budgets during January.</p> <p>Assurance required that complete and accurate accounting records are being maintained *</p> <p>EMT, Audit and Pension Committee, External Audit</p>	2	4	8	Low 	Jane West lead – All Executive Directors	Review October 2011



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		spend. • Insufficient budgetary provision and/or budgetary under/overspend * • Incomplete/inaccurate accounting records linked to the World Class Financial Management Programme • Upgrade of CEDAR Financial System to Version 5.3 from 5.1		been raised at FSB • Grant Claims & returns record is tracked at FSB • Monthly corporate revenue & capital monitoring to cabinet • Reports to the Leader identify where spend levels exceed a tolerable level during the year • Credit check of contractors is being undertaken through the Competition Board • Disposal of Assets • CEDAR Planning and preparation work will begin 7 months before the start of the actual implementation, so as to ensure that there is sufficient time to carry out work thoroughly. This timescale also includes slippage time of two months, in case of unforeseen complications. • CEDAR User acceptance training	Cabinet Members Decision report on CEDAR upgrade Internal Audit Substantial Assurance report 2011/12 Cedar pre implementation						
6.	Putting residents first, Setting the framework for a healthy borough	Successful partnerships & Major Contracts Sub-risks • Partnering activity with other boroughs and the NHS may blur the lines of responsibility, accountability or liability in the event of service failure • Plans to remodel the PCT's and delivery of health services through GP's as per the White Paper – Liberating the NHS	• Joint objectives are not met • Community expectations are not met • Relationship deteriorates • Threat of overspends and underspend	• Governance arrangements are in place • Performance monitoring reports reported to Select Cttee's • H & F Bridge Performance Monitoring • Financial creditworthiness checks at Competition Board	H & F Bridge Partnership Assurance process Internal Audit Substantial Assurance report 2011/12 Partnership Governance Competition Board EMT,	4	3	12	Medium 	Derek Myers	Review October 2011


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					Audit and Pension Committee						
7.	Delivering value for money	<p>Maintaining reputation and service standards</p> <p>Sub-risks</p> <ul style="list-style-type: none"> Multiplicity of external forces and initiatives Breach of Officer or Member code of conduct Inappropriate Data released Poor data quality internally or from third parties, breaches of information protocols, information erroneously sent to third parties. Auto forwarding of information (Information control and threat of leakage) 	<ul style="list-style-type: none"> Threat to the status of the council Failure to deliver plans & savings. Ability to effectively lead and resource the transformation agenda is diminished Service delivery deteriorates Potential adverse media reporting Potential adverse media reporting Quality and integrity of data held in support of Performance Management & Financial systems leads to under or over estimation 	<ul style="list-style-type: none"> A review of the corporate governance arrangements has conducted by Internal Audit and a revised Local Code of Corporate Governance has been produced Annual Complaints review report April 2010 to March 2011 produced to Committee New Information Management Security Protocols published on the Intranet Regular reporting on Security Incidents by the Information Management Team Combined Business Planning & MTFS processes Business Planning is part of the performance management competencies Risk & assurance registers have been developed for all departments and divisions Performance statistics are scrutinised by Select Committee's, EMT & DMT's Corvu Performance Management System is able to pick up anomalies Data Quality Training 	<p>Cabinet Ofsted, Care Quality Commission, Annual Audit letter</p> <p>EMT, Audit and Pension Committee, Overview and Scrutiny Board</p> <p>ITSOG</p> <p>Data quality review conducted by Internal Audit and a Management Letter has been issued with low level recommendations</p>	3	3	9	Low 	All Executive Directors	Review October 2011
8.	Delivering value for money	<p>Managing fraud (Internal & External)</p> <p>Sub-risks</p> <p>Misappropriation of assets *</p>	<ul style="list-style-type: none"> Loss of reputation Financial loss Adverse regulatory /audit report Inadequately resourced fraud unit 	<ul style="list-style-type: none"> Revised risk & assurance register produced May 2011 New model being piloted to collate information from fraud cases and disseminate the recommendations through risk & assurance registers Literature and training has been delivered to all levels of 	<p>Audit and Pension Committee receive quarterly reports on Fraud</p> <p>Deloitte Fraud Survey 2008</p>	2	3	6	Low 	Jane West lead – All Executive Directors	Review October 2011


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				the authority <ul style="list-style-type: none"> Information and guidance has been published on the corporate intranet Awareness survey has been undertaken A Corporate Fraud Service has been established Level of fraud is being tracked through FSB Close working relationship is established with the Police Fraud risks being integrated into risk registers CAFS team now use a risk assessment to assist in targeting and workload prioritisation 	Substantial Assurance report 2010/11 Personal Budgets, Housing Benefits Substantial Assurance reports 2010/11 Contract Management, Management & Monitoring of Contractors(Env.) EMT						
9.	Delivering value for money	Successful cultural change <ul style="list-style-type: none"> Right staff not available for this work due to increasing workloads while also downsizing and restructuring. 	<ul style="list-style-type: none"> Potential internal uncertainty re: staff morale Change consumes more resource than VFM/efficiency gains realise Uncertainty leads to low staff morale and lower productivity. 	<ul style="list-style-type: none"> Transforming the way we do business, Market Management and other Portfolio Transformation Programmes Effective communications programme Staff Survey undertaken in 2009 and follow up actions are being delivered Career development discussions Smartworking 	Staff survey Corporate Workforce Group EMT, Audit and Pension Committee Transformation Board	3	3	9	Low 	Jane West	Review October 2011
10.	Putting residents first	Managing the Business Objectives (publics needs and expectations) <p>Sub-risks</p> <ul style="list-style-type: none"> A successor integrated 	<ul style="list-style-type: none"> The Public or section of the public may not receive the service that they need or to the quality they expect Reputation of the service may be affected Regeneration of Shepherds Bush Market and Former 	<ul style="list-style-type: none"> Implementation of Lean Thinking principles putting the voice of the customer at the heart of service design Robust Business Planning regime revised for 10-12 incorporating fully the Medium Term Financial Strategy 	Cabinet Members Scrutiny Cttee review performance Ofsted	3	3	9	Low 	All Executive Directors	Review October 2011


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		financial and business planning process is not delivered	<p>Library and wider Regeneration</p> <ul style="list-style-type: none"> • Services are delivered in an unplanned way • Services start to do their own thing • Maverick decisions • Inconsistencies in service delivery start to emerge • Lack of transparency • Duplication of effort • Communication of objectives and values is lost • Target and Objective setting is diminished reducing the effectiveness of the performance management regime for officers 	<ul style="list-style-type: none"> • Performance monitoring and feedback through local media • Organisational Development in conjunction with Deloitte's have undertaken a review of the Business Planning process • Customer experience and satisfaction surveys 	Care Quality Commission						
11.	Delivering value for money	Market Testing of Services (refer to Competition Board Roadmap)	<ul style="list-style-type: none"> • Increase in threat of legal challenge on contract awards • Officers time away from other projects • Timescale of project is tight • Insufficient numbers of Officers designated to the project • Benefits are not realised • Data Quality (Accuracy, timeliness of information) results in variation to original contract spec 	<ul style="list-style-type: none"> • Revised TOR's for Competition Board • Lean thinking exercise of procurement processes to make them slicker and more efficient • Consultation with other boroughs • Project managing the process • Separation or joining of projects to maximise benefit potential • Realistic timetables agreed and reviewed at Competition Board • Market Testing progress report to EMT • Programme & Project Management – Risk Logs being maintained, periodic risk reviews 	<p>Competition Board</p> <p>Transformation Board</p> <p>EMT</p> <p>Audit review conducted for Use of Contractors</p> <p>Internal Audit Substantial Assurance reports 2011/12</p> <p>Market Testing H & F News, BTS, Legal Services</p> <p>Full Assurance report 2011/12</p> <p>Market Testing Out of Hours Service</p>	3	3	9	Low 	All Executive Directors	<p>Review</p> <p>October 2011</p>

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12.		Scrutiny of Public Health Service	<ul style="list-style-type: none"> • Department of Health is creating a governing body (Public Health England) where a joint appointment of a Director with the Council – would be necessary. Currently the appointment is jointly with the NHS trust • Maintaining an audit trail of financial expenditure • Monitoring of financial spend against performance targets to achieve financial credit or top ups • Mayor of London seeks increased responsibility for some Public Health work areas in competition to Local Authorities that could reduce the amount allocated to the Council • Setting up a Health and Wellbeing Board attendees would need to include Councillors and managing their time demands • Three Boroughs merged services may result in functions being delivered to support the new responsibilities jointly • LBHF currently jointly fund the Director of Public Health post, RBKC don't fund Westminster to jointly fund • Deprivation statistics could affect the distribution of financial settlement unevenly • Public Health budgets will be ring fenced however local authorities seek unringfencing of the monies • Commissioning of services responsibilities for some 	<ul style="list-style-type: none"> • Director of Public Health attends Housing, Health and Adult Social Care Select Committee • Dedicated officers implementing the setting up of a Health & Well Being Board • Pilot council before full delivery which is due (start April 1st 2013) • HM Government Healthy Lives Healthy People Nov 2010 • Joint meetings with K & C & Westminster • Officer meetings with Department of Health 	EMT Education Select Committee	3	3	9	Low 	Derek Myers, Director of Public Health	Review October 2011


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			health inequalities (healthy eating, smoking cessation, immunisation, screening, air pollution, drugs and alcohol, teenage pregnancy) <ul style="list-style-type: none"> • Provision of audit and resilience services i.e. managing environmental hazards and emergency planning 								
OPPORTUNITY RISKS											
2.	Delivering high quality, value for money public services	Merging of education services with Westminster Council and the Royal Borough of Kensington and Chelsea	Savings due to removal of duplication across the councils	<ul style="list-style-type: none"> • Appointment of a single Director of Childrens Services for the Three Boroughs • Report to Cabinet 10-01-2011 updated members on progress. including the establishment of <ol style="list-style-type: none"> 1.A joint commissioning unit and the establishment of an arm's length delivery unit for education services across the three LAs by September 2012, with an interim merged service in place for the new academic year in September 2011. 2. For the exploration, in the second phase, of possible different models for the delivery of services - options may include market testing or a social enterprise. 3. That agreement be given for the development of shared provision for the Local Children's Safeguarding Board, Fostering and Adoption services and Youth Offending services by September 2011, subject to agreement by WCC and RBKC Councils. 4. With a view to the 	Cabinet Transformation Board Education Select Committee	2	4	8	Low 	Andrew Christie	Review October 2011



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				<p>implementation in line with these timescales, that the Director of Children's Services be authorised to :</p> <p>i) reach agreement with fellow Directors of Children's Services on reorganisation proposals on a service by service or part service basis, with a view to agreeing the future scope of such services; management arrangements; the staffing structures for such services; the advisability of harmonising terms and conditions across boroughs; and the implementation of a joint commissioning strategy;</p> <p>ii) consult with affected staff and unions on the basis that any sharing of services will initially take place by affected staff either being seconded to work with staff at other boroughs or will be transferred to the employment of a host borough depending on the detail of the agreement to be reached with other boroughs on a service by service or part service basis;</p> <p>iii) implement the sharing of the services</p> <p>to agree the terms of any secondment either to or from the Council; to agree any necessary changes to staffing structures; and to authorise any resulting redundancies in accordance with the Council's usual procedures and to do everything necessary to give effect to the above.</p> <p>5. That it is agreed that the implementation of these proposals and any future proposals in relation to</p>							


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				<p>Children's Services be aligned with the requirements and timescales for the wider development of shared services across the three LAs.</p> <ul style="list-style-type: none"> Report to Cabinet 20th June 2011 updated Members on the business case as a basis for moving forward. 							
3.	Delivering high quality, value for money public services	Merging of services with Westminster & RB Kensington and Chelsea	Savings due to removal of duplication across the council	<ul style="list-style-type: none"> Review of corporate and back office functions Review of opportunities with contracts Risk Register compiled and is being presented to the Programme Board <p>Report to Cabinet 20th June 2011 updated Members on</p> <ol style="list-style-type: none"> The business case as a basis for moving forward. Adult Social Care, TriBorough Executive Director preferred candidate due to commence December 2011 Libraries Service, TriBorough Executive Director appointed Environment Services, Bi-Borough (RBKC and H & F) Executive Directors appointed Appointment of Joint Chief Executive and Head of Paid Service TriBorough Managed Services Programme (Corporate Services) 	Cabinet Overview & Scrutiny Board	2	4	8	Low 	Derek Myers, Mike More, All Executive Directors	October 2011

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				<ul style="list-style-type: none"> • TriBorough Portfolio Management Office responsibilities established including the lead programme contacts. • TriBorough Programme Management Officer Appointed • Terms of reference produced for the Members Steering Group 							
4.	Delivering high quality, value for money public services	Regeneration of Shepherds Bush Market and former Shepherds Bush Library	Community benefits through improved market area, social housing and use of buildings	Section 106 possible funding and partnering with developer over scheme	Cabinet	2	4	8	Low 	Mel Barrett	October 2011
5.	Delivering high quality, value for money public services	<p>Re-integration of H & F Homes</p> <p>Sub-risks</p> <p>There is an increased risk that staff will continue to apply legacy procedures from the ALMO.</p> <p>Where the HF Homes risk management framework is not effectively integrated into the Council's framework, this may lead to key risks being lost in the integration or</p>	<p>Savings due to the removal of duplication in back office functions</p> <p>There will be some immediate savings of circa £700k that flow from the integration of the ALMO as a result of the deletion of vacant posts, which would otherwise be duplicated in the new structure, and the elimination of agency workers and contractors to whom TUPE does not apply.</p> <p>This may lead to key management tasks not being undertaken due to confusion over responsibilities</p> <p>A formal action plan for integrating the HF Homes risk management framework within the Council's framework should be established.</p> <p>The plan should include but</p>	<p>Consultation exercise has demonstrated public opinion to re-integrate and a report recommending re-integration presented to Cabinet 10-01-2011</p> <p>Appointment of development agent services to support the delivery of new affordable homes</p> <p>Briefings or training sessions are provided to line managers</p> <p>An individual has been identified</p>	<p>Cabinet</p> <p>Internal Audit review of Integration April 2011 Draft Substantial Assurance</p> <p>Corporate Safety Committee</p> <p>Housing and Regeneration DMT</p> <p>EMT</p> <p>FSB</p>	2	4	8	Low 	Mel Barrett	October 2011


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		duplication of effort where the same risk appears on multiple registers or against multiple risk owners.	<p>not be limited to:</p> <ul style="list-style-type: none"> • Adapting risk register templates; • Identification of risk owners within the Housing and Regeneration Department ; • Reporting procedure for risks and their mitigation; • Ensuring that risks are not lost or duplicated; and • Appointing a Risk Management representative for the department. <p>The Housing and Regeneration Department should also appoint a representative to the Corporate Performance Group.</p> <p>Where a post integration communication strategy and channels of communication are not established, there is an increased risk that staff will not fully engage in the integration process. This may impact on the morale of staff from both HF Homes and the Council.</p>	<p>to lead and respond on the risk management process..</p> <p>Representative of the department has been invited to attend future Corporate Performance Group Meetings</p> <p>Post-integration communication channels have been established to secure staff buy-in into the integration.</p> <p>The communication channels enable staff to express concerns and seek advice on any issues in respect of them adapting the Council's working practices and culture.</p>							
6.	Delivering high quality, value for money public services	Regeneration of King Street and Civic Offices	The Town Hall extension has come to the end of its life and needs to either be demolished or refurbished. An estimated cost of around £18m in temporarily accommodating staff through a relocation to	<p>Planning Committee and team independence</p> <p>Public consultation</p> <p>The council's advisers, Cushman & Wakefield, ran a competition for development of the existing site – which also</p>	Cabinet	3	5	15	Medium		October 2011

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No.	Corporate Priorities	Risk	Consequence	Identified Control	Assurance	Likelihood (L)	Impact (I)	Exposure = L x I	Risk Rating	Responsible Officer – Group	Review
			<p>facilitate repairs</p> <p>New office accommodation at no cost is being provided in exchange for land</p> <p>A new modern building is also expected to save around £150,000 in energy costs</p> <p>Jobs will be created in King Street</p> <p>A new community-sized supermarket and a range of new restaurants and other retailers, alongside a council 'One Stop Shop', will draw more people down King Street and encourage more investment in the area</p> <p>Successful redevelopment would enable the council to terminate contracts for various costly leased buildings around the borough savings around £2 million a year.</p>	<p>includes the council car park on Nigel Playfair Avenue. The competition was based on which scheme delivers the best value for money to the borough's taxpayers, the best opportunity to regenerate this run-down part of King Street and the least disruption to local residents.</p> <p>Public exhibition</p> <p>Planning documents are available to view on the council's website</p> <p>A planning application to redevelop the area around Hammersmith Town Hall was submitted to Hammersmith & Fulham (H&F) Council on Friday (29 October).</p> <p>The application from King Street Developments Ltd (KSD) will trigger a new round of consultation as the council, now acting as local planning authority, consults extensively with residents, amenity groups and other interested parties.</p> <p>Information from local amenity groups has been passed to planning officers. The Leader of the Council has attended a Save or Skyline meeting</p> <p>The Leader of the Council wrote to prominent amenity societies to make the case for regeneration following concerns from some groups</p> <p>An independent financial</p>							

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				assessment from PricewaterhouseCoopers (PwC) on the viability of the proposals							
7.		Earls Court regeneration	<ul style="list-style-type: none"> The comprehensive regeneration of three land holdings, Transport for London (freeholder of the Lillie Bridge Depot and Earls Court) - Capital & Counties (CapCo) leaseholders of Earls Court 1 and 2 and freehold owners of Seagrave Road Car Park - LBHF, freehold owners of the West Kensington and Gibbs Green housing estates. offers the opportunity for the council to secure major estate renewal across the West Kensington and Gibbs Green estates as well as offering the opportunity to deliver substantial benefits for local residents and the wider community. This includes securing new modern homes for all existing residents of the West Kensington and Gibbs Green estates, new additional affordable homes generating greater housing choice for Borough residents and in 	<ul style="list-style-type: none"> Capco will pay a fee of £15m on entering into the exclusivity agreement. £10m of this is refundable should a Conditional Land Sale Agreement not be possible and £5m is not refundable under any circumstances. Establishment of a formal West Kensington and Gibbs Green Steering Group, established by residents of the West Kensington and Gibbs Green estates, constituted by establishing a non-profit Company Limited by Guarantee to allow them to deliver their agreed objectives. Earls Court project risk register initially compiled in 2009 	Cabinet Housing, Health And Adult Social Care Select Committee Housing & regeneration DMT	2	4	8	Low 	Mel Barrett	October 2011

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			particular local families, • new efficient schools, leisure and health facilities, new open and play space and a significant increase in job opportunities.								

Note 1. All key risks have been extracted from(but not limited to) a number of sources for analysis by the Corporate Management Team. The sources include;

- i. Previous Corporate Risk & Assurance Register
- ii. Benchmarking with other Local Authorities on Identified Risks
- iii. Information identified from Departmental Risk Registers
- iv. Officers Knowledge and experience
- v. The Office of Government Commerce Project Risk Management Handbook
- vi. Procurement exercises
- vii. Significant Weaknesses established from the Annual Assurance process
- viii. Audit Reports
- ix. Knowledge and experience of public sector risks from the Principal Risk Consultant
- x. Data Quality and Integrity
- xi. Transformation Management Office monthly report

Note 2. Categorised under the PESTLE methodology as published in the Hammersmith & Fulham Risk Standard. Compliant with Audit Commission/ ALARM/IRM/CIPFA best practice.

* **Derived from Deloitte's Assurance Framework 2007/2008**

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Residual

CORPORATE RISK REGISTER

